



2 Feb 5 21 29
Receipt

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
(Attorney Docket No. 111345.122US)

In re Application of: Anuszyk et al.) Examiner: To Be Assigned
Serial No: 10/021,535) Art Unit: 2171
Filed: December 12, 2001)

For: METHOD AND APPARATUS FOR MANAGING COMPONENTS IN AN IT SYSTEM

CERTIFICATE OF FIRST CLASS MAILING UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231, Attention: OIPE Customer Service Center, on the date indicated below.

Date: 1/30/2003

Kimm Maugeri
Kimm Maugeri

Assistant Commissioner for Patents
Washington, D.C. 20231
Attention: OIPE Customer Service Center

REQUEST FOR CORRECTED FILING RECEIPT

Sir:

Attached is a copy of the formal filing receipt for the above-referenced application. As indicated in red ink, the filing date must be corrected from, "12/11/2001" to "12/12/2001". Copies of the application transmittal papers, data sheet and date-stamped Express Mail Label are attached as proof of the correct filing date.

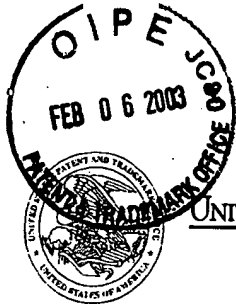
Please charge any fees that may be due in connection with this matter to deposit account 08-0219. If there are any questions, please call the undersigned at the telephone number indicated below.

Respectfully submitted,

Joseph F. Haag
Joseph F. Haag, Reg. No. 42,612
Attorney/Agent for Applicants

Date: Jan 30, 2003

HALE AND DORR LLP
60 State Street
Boston, MA 02109
(617) 526-6000
(617) 526-5000 (Facsimile)



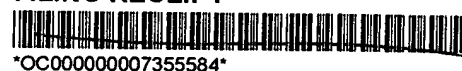
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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/021,535	12/11/2001 12/12/2001	2171	1048	111345.122	15	44	14

CONFIRMATION NO. 1826

FILING RECEIPT



OC00000007355584

Joseph F. Haag
Hale and Dorr LLP
60 State Street
Boston, MA 02106

HALE AND DORR LLP**JAN 28 2002**

**INTELLECTUAL PROPERTY
DEPARTMENT**

Date Mailed: 01/24/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Jeffrey John Anuszczyk, Framingham, MA;
David Jay Barbrow, Newton, MA;
Firdaus Bhathena, Andover, MA;
Peter Demarest Beaman, Wellesley, MA;
Stanislaw Kowalczyk, Boston, MA;
Blair Francis Wheeler, Winchester, MA;

Assignment For Published Patent Application

Relicore, Inc., Burlington, MA;

Domestic Priority data as claimed by applicant**Foreign Applications**

If Required, Foreign Filing License Granted 01/24/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

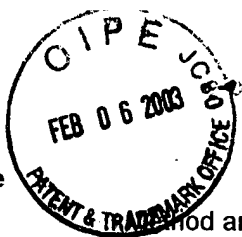
Early Publication Request: No

**** SMALL ENTITY *****HALE & DORR DOCKETING*RE: 111345.122 US1

Action Date: _____

Action to be Taken: _____

Docketed by: an On: 1-28-02



Title

Method and apparatus for managing components in an IT system

Preliminary Class

707

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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I, **SHIRLEY L. HALEY**, state that I have mailed the information identified on the attached Transmittal Letter to the U.S. Patent and Trademark Office at the ~~South Station~~ Post Office *Faneuil Hall* under **Express Mail Label No. EL540703417US** on **December 12, 2001** at 4:30 p.m.

Shirley Haley
Signature

12/12/01
Date of Signature

4:45 pm
Time of Signature

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TO ADDRESSEE**

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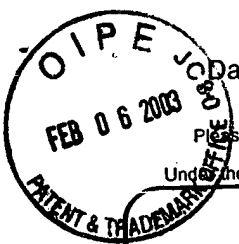
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J. Haag

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PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	111345-122
First Inventor	Jeffrey Anuszczyk
Title	Method and Apparatus for Managing Components In An IT System
Express Mail Label No.	EL540703417US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages **47**]
(preferred arrangement set forth below)
- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets **15**]
5. Oath or Declaration [Total Pages **1**]
a. ☐ Newly executed (original or copy)
b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:Assistant Commissioner for Patents
Box Patent Application
Washington DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
a. ☐ Computer Readable Form (CRF)
b. Specification Sequence Listing on:
i. ☐ CD-ROM or CD-R (2 copies); or
ii. ☐ paper
c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: _____

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: _____ / _____

Prior application information:

Examiner _____

Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS☐ Customer Number or Bar Code Label**23483**
(Insert Customer No. or Attach bar code label here)or ☐ Correspondence address below

Name	Joseph F. Haag				
Address	Hale and Dorr LLP				
	60 State Street				
City	Boston	State	MA	Zip Code	02106
Country	United States	Telephone	617-526-6042	Fax	617-526-5000

Name (Print/Type)	Joseph F. Haag	Registration No. (Attorney/Agent)	42,612
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Signature

Date

Dec. 12, 2001

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 1,048

Complete if Known

Application Number	TBA
Filing Date	December 12, 2001
First Named Inventor	Jeffrey Anuszczyk
Examiner Name	TBA
Group Art Unit	TBA
Attorney Docket No.	111345-122

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 08-0219
Deposit Account Name Hale and Dorr LLP

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	\$370
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

SUBTOTAL (1) (\$) 370

2. EXTRA CLAIM FEES

Total Claims 44 -20** = 24 x 9 = 216
Independent Claims 14 -3** = 11 x 42 = 462
Multiple Dependent 0 = 0

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 84	209 42	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 678

*or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for ex parte reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY

Name (Print/Type)	Joseph F. Haag	Registration No. (Attorney/Agent)	42,612	Telephone	617-526-6042
Signature				Date	December 12, 2001

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